

A

PATENT

Docket No. 39D-1884

Box Patent Application
Commissioner of Patents and Trademarks
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): George Shibata, Paul J. Ashton, Scott D. Anderson, Steven D. Mack
and Tai Tu

WARNING: Patent must be applied for in the name(s) of all of the actual inventor(s). 37 CFR 1.41(a) and 1.53(b).

For (title): Sample Loading and Handling Interface to Multiple Chemistry Analyzers

1. Type of Application

This new application is for a(n) (check one applicable item below):

- ☒ Original
☐ Design
☐ Plant

WARNING: Do not use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. 371(c)(4) unless the International Application is being filed as a divisional, continuation or continuation-in-part application.

NOTE: If one of the following 3 items apply then complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION.

- ☐ Divisional
☐ Continuation
☐ Continuation-in-part (CIP)

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date June 17, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number B20736983W addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Candy Eldredge

(Type or print name of person mailing paper)

Candy Eldredge

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

2. Benefit of Prior U.S. Application(s) (35 USC 120)

NOTE: If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., then check the following item and complete and attach **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**.

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**.

3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

24 Pages of specification

6 Pages of claims

1 Pages of Abstract

9 Sheets of drawing

- ☐ formal
☒ informal

WARNING: DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. Comments on proposed new 37 CFR 1.84. Notice of March 9, 1988 (1990 O.G. 57-62).

NOTE: "Identifying indicia such as the serial number, group and unit, title of the invention, attorney's docket number, inventor's name, number of sheets, etc., not to exceed 2 3/4 inches (7.0 cm.) in width may be placed in a centered location between the side edges within three fourths inch (19.1 mm.) of the top edge. Either this marking technique on the front of the drawing or the placement, although not preferred, of this information and the title of the invention on the back of the drawings is acceptable." Proposed 37 CFR 1.84(1). Notice of March 9, 1988 (1990 O.G. 57-62).

4. Additional papers enclosed

- ☐ Preliminary Amendment
☐ Information Disclosure Statement (37 CFR 1.98)
☐ Form PTO-1449
☐ Citations
☐ Declaration of Biological Deposit
☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
☐ Special Comments
☐ Other

(Application Transmittal [4-1]—page 2 of 7)

5. Declaration or oath

☐ Enclosed

executed by (check all applicable boxes)

☐ inventor(s).☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.☒ Not Enclosed.

WARNING: Where the filing is a completion in the U.S. of an International Application but where a declaration is not available or where the completion of the U.S. application contains subject matter in addition to the International Application the application may be treated as a continuation or continuation-in-part, as the case may be, utilizing ADDED PAGE FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.

☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor(s). (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).

NOTE: It is important that all the correct inventor(s) are named for filing under 37 CFR 1.41(c) and 1.53(b).

☐ Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d).

6. Inventorship Statement

WARNING: If the named inventors are each not the inventors of all the claims an explanation, including the ownership of the various claims at the time the last claimed invention was made, should be submitted.

The inventorship for all the claims in this application are:

☒ The same

or

☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,☐ is submitted.☐ will be submitted.

7. Language

NOTE: An application including a signed oath or declaration may be filed in a language other than English. A verified English translation of the non-English language application and the processing fee of \$130.00 required by 37 CFR 1.17(k) is required to be filed with the application or within such time as may be set by the Office. 37 CFR 1.52(d).

NOTE: A non-English oath or declaration in the form provided or approved by the PTO need not be translated. 37 CFR 1.69(b).

☒ English☐ non-English☐ the attached translation is a verified translation. 37 CFR 1.52(d).

(Application Transmittal [4-1]—page 3 of 7)

8. Assignment

☒ An assignment of the invention to Beckman Coulter, Inc.

☐ is attached. A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1906 is also attached.

☒ will follow.

NOTE: "If an assignment is submitted with a new application, send two separate letters—one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).

9. Certified Copy

Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

from which priority is claimed

☐ is(are) attached.

☐ will follow.

NOTE: The foreign application forming the basis for the claim for priority must be referred to in the oath or declaration. 37 CFR 1.55(a) and 1.63.

NOTE: This item is for any foreign priority for which the application being filed directly relates. If any parent U.S. application or International Application from which this application claims benefit under 35 U.S.C. 120 is itself entitled to priority from a prior foreign application then complete item 18 on the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

10. Fee Calculation (37 CFR 1.16)

A. ☒ Regular application

CLAIMS AS FILED			
Number filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00 \$790
Total Claims (37 CFR 1.16(c))	32-20=	12 X	\$18 \$22.00 216.00
Independent Claims (37 CFR 1.16(b))	2-3=	0 X	\$ 74.00 -0-
Multiple dependent claim(s), if any (37 CFR 1.16(d))			\$260 \$230.00

☐ Amendment cancelling extra claims enclosed.

☐ Amendment deleting multiple-dependencies enclosed.

☐ Fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 CFR 1.16(d).

Filing Fee Calculation

\$ 1006.00

(Application Transmittal [4-1]—page 4 of 7)

B. ☐ Design application

(\$280.00—37 CFR 1.16(f))

Filing Fee Calculation

\$ _____

C. ☐ Plant application

(\$460.00—37 CFR 1.16(g))

Filing fee calculation

\$ _____

11. Small Entity Statement(s)

- ☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.

Filing Fee Calculation (50% of **A**, **B** or **C** above)

\$ _____

NOTE: Any excess of the full fee paid will be refunded if a verified statement and a refund request are filed within 2 months of the date of timely payment of a full fee. 37 CFR 1.28(a).

12. Request for International-Type Search (37 CFR 1.104(d)) (complete, if applicable)

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment Being Made At This Time

- ☐ Not Enclosed

☐ No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

- ☒ Enclosed

☒ basic filing fee \$ 1006.00

☐ recording assignment (\$40.00; 37 CFR 1.21(h)) \$ _____

☐ petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h)) \$ _____

☐ for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k)) \$ _____

☐ processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l)) \$ _____

☐ fee for international-type search report (\$35.00; 37 CFR 1.21(e)). \$ _____

NOTE: 37 CFR 1.21(l) establishes a fee for processing and retaining any application which is abandoned for failing to complete the application pursuant to 37 CFR 1.53(d) and this, as well as the changes to 37 CFR 1.53 and 1.78, indicate that in order to obtain the benefit of a prior U.S. application, either the basic filing fee must be paid or the processing and retention fee of § 1.21(l) must be paid within 1 year from notification under § 53(d).

Total fees enclosed\$ 1006.00

14. Method of Payment of Fees

- ☐ Check in the amount of \$_____
- ☒ Charge Account No. 02-1660 in the amount of \$1006.00. A duplicate of this transmittal is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

15. Authorization to Charge Additional Fees

WARNING: If no fees are to be paid on filing the following items should **not** be completed.

WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 02-1660:

- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
- ☐ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)

NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 CFR 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.

- ☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☐ 37 CFR 1.17 (application processing fees)

WARNING: While 37 CFR 1.17(a), (b), (c) and (d) deal with extensions of time under § 1.136(a) this authorization should be made only with the knowledge that: "Submission of the appropriate extension fee under 37 C.F.R. 1.136(a) is to no avail unless a request or petition for extension is filed." (Emphasis added). Notice of November 5, 1985 (1060 O.G. 27).

- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 CFR 1.311(b).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application . . . prior to paying, or at the time of paying, . . . issue fee". From the wording of 37 CFR 1.28(b): (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

16. Instructions As To Overpayment

- ☒ credit Account No. 02-1660
- ☐ refund

Reg. No. 38,517

Tel. No. (714) 773-6969

Margaret A. Kivinski

SIGNATURE OF ATTORNEY

Margaret A. Kivinski

Type or print name of attorney

4300 N. Harbor Blvd.

P.O. Address

P.O. Box 3100

Fullerton, CA 92834-3100

(Application Transmittal [4-1]—page 6 of 7)

☐ Incorporation by reference of added pages

Check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED

- ☐ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s) Claimed

Number of pages added _____

- ☐ Plus Added Pages For Papers Referred To In Item 4 Above

Number of pages added _____

- ☐ Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added _____

☒ Statement Where No Further Pages Added

(If no further pages form a part of this Transmittal then end this Transmittal with this page and check the following item)

- ☒ This transmittal ends with this page.

5 **Title: Sample Loading and Handling Interface to Multiple Chemistry Analyzers**

BACKGROUND OF THE INVENTION

10 1. Field of the Invention

The present invention relates to systems and methods for automated chemical analysis of samples and might be applied to the sort of analytical chemistry sometimes used in screening or detecting characteristics of human blood tissue or other liquid or soluble media.

15

2. Description of the Related Art

Analysis of liquids such as human blood tissue or other liquid or soluble media is commonly desirable in a variety of clinical settings. Various tests might be performed on a liquid sample to screen for different conditions or various tests might be used to accurately screen for or identify a single condition. For example, a hospital or other clinical laboratory might wish to screen a patient's blood for a plurality of conditions such as diseases so that a number of different tests might be performed on the blood.

20

Alternately, the clinical laboratory might utilize multiple analytic techniques to establish a particular screening result with particularly high confidence. In other cases, an array of tests might be necessary to accurately diagnose a given condition. For a high volume clinical laboratory, the multiple tests that each patient might require is multiplied by the number of the many patients that might be under examination at the same time at the laboratory. Under these circumstances, it is very useful to utilize automated analytical equipment.

25

Automated analytical equipment, such as automated analytical chemistry workstations, can efficiently perform clinical analysis on a large number of samples, with tests being run concurrently or within short time intervals. Efficiencies result in part because of the use of automated sample identification and tracking. This equipment can automatically prepare appropriate volume samples and can automatically set the test conditions needed to perform the scheduled tests. Test conditions can be independently established and tracked for different testing protocols simultaneously in progress within a single test station, facilitating the simultaneous execution of a number of different tests based on different chemistries and requiring different reactions conditions. Automated analytical equipment is particularly well suited for high volume testing environments, such as exist in many hospitals and in centralized testing laboratories because the automatic sample handling allows for more precise sample identification and sample tracking. Automatic handling and tracking of samples significantly reduces the opportunity for human error or accidents that can lead to either of erroneous test results or undesirable contamination.

An example of such an automated clinical chemistry system is provided by U.S. Patent No. 5,575,976 to Choperena, et al., which describes embodiments of the Access® Special Chemistry Analyzer presently available through the Clinical Chemistry Division of Beckman Coulter, Inc., located in Brea, California. Another automated chemistry analyzer is the SYNCHRON LX®20 General Chemistry Analyzer, as described in U.S. Patent No. 5,863,506 to Farren, U.S. Patent No. 5,833,925 to Hsu, et al., and in U.S. Patent Application Serial No. 08/748,135 to Robins, et al., entitled "Pressure Detector for Chemical Analyzers," and in U.S. Patent Application No. 08/746,649 to Fechtner, et al., "Automatic Chemistry Analyzer with Sample Cup Piercing Assembly," which is also presently available through the Clinical Chemistry Division of Beckman Coulter, Inc.,

located in Brea, California. These chemistry systems can provided automated analysis of a number of samples.

There are instances when these integrated clinical chemistry analyzers are unable to perform all of the desired tests on a set of samples. For example, it may be desirable to perform tests on a given sample in both of the Access and SYNCHRON LX20 analyzers. It is desirable to run such tests in as automated of a way as possible.

SUMMARY OF THE PREFERRED EMBODIMENTS

It is an object of the present invention to provide a system for more efficiently making use of existing clinical chemistry analyzers. Alternately, preferred embodiments of the present invention may provide a more sophisticated and flexible architecture for testing samples. A consequence of implementing this architecture may be the ability to automatically perform tests including conditional testing protocols where the result of a first test either determines what subsequent tests are run, cancels subsequent tests or causes tests to be repeated.

According to an aspect of the present invention, a clinical chemistry system includes a storing station that receives and stores a plurality of primary sample tubes. A sampling station, including a sample probe that draws a volume of sample from a sample tube and transfers the volume to a secondary tube, is provided. A carriage mechanism selects one of the plurality of primary sample tubes and transports the sample tube to the sampling station and returns the primary sample tube to the storing station. A first and a second secondary tube transfer station are respectively coupled to first and second analyzers. A continuous transport mechanism moves filled secondary tubes to a selected one of the first and second secondary tube transfer stations.

Another aspect of the invention provides a clinical chemistry system with a sample identification station for determining sample identification information. A

carriage mechanism transports samples to the sample identification station and a continuous transport mechanism otherwise moves sample tubes within the system. First and second sample tube transfer stations are respectively coupled to first and second analyzers. The first and second sample tube transfer stations are adapted to move a sample tube from the continuous transport mechanism to an interface of a first or second analyzer. A host computer receives sample identification information and issues a sample testing message that includes one of the first and second analyzers as a destination.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 provides an overview of a front-end system connected to an assembly of two different clinical chemistry analyzers.

FIG. 2 provides a detailed overall view of the interior of the front-end system shown in FIG. 1.

FIG. 3 illustrates more clearly the overhead carriage and gripper assembly of FIG. 2.

FIG. 4 shows a reaction vessel for carrying an aliquot of a sample within the overall system.

FIG. 5 shows a carriage with clips for holding a reaction vessel in place within the carriage.

FIG. 6 shows a clearer view of the cap piercing and sampling assembly in relation to other components of the overall system.

FIG. 7 illustrates a reaction vessel transfer mechanism.

FIGS. 8 & 9 illustrate views of a mechanism for centering and holding a sample tube during a cap piercing operation.

FIG. 10 schematically illustrates the interconnections of control systems and computers in the system of FIG. 1.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Embodiments of the present invention provide an interface or front-end system that accepts samples and selectively provides aliquots of those samples to selected clinical chemistry analyzers coupled to the front-end system. The front-end system is coupled to an assembly of one or more clinical chemistry analyzers that might, for example, provide complementary analytical tools so that the overall system of front-end system and clinical chemistry analyzers provides a predetermined broad range of clinical analytical testing. The testing protocols for samples input to the overall system can be independently determined. Any sample may undergo a single test within one of the clinical chemistry analyzers or a series of tests within a single or, more typically, within plural ones of the analyzers, depending upon the testing protocol defined for that sample.

Most preferably, testing sequences are associated with each sample loaded into the system, whether through data input associated with the sample or through information derived from or retrieved on the basis of sample identification information attached to the sample. For example, the sample may be in a tube and information may be attached to the sample in the form of a bar code or other coded label on the sample tube. The label identifies the sample within the sample tube and might identify the tests to be performed on that sample. Alternately, the sample identification information might be used to retrieve a testing protocol from a host computer associated with the testing system. In such systems when samples are positively identified, the user can simply provide the samples to the front-end system. The overall system then performs the desired testing functions for a given testing protocol automatically, even if the testing protocol requires that tests be performed within different clinical chemistry analyzers and in specific orders. Due to the flexibility facilitated by various aspects of the present invention, it is further possible to perform conditional testing regimes, in which the results of a first test

determine whether a subsequent test is to be performed or how a subsequent test is to be performed.

The front-end system automatically identifies samples, draws aliquots, and transports the aliquots to the one or more clinical chemistry analyzers coupled to the front-end system. Sample identification, handling and testing are preferably automated within the overall system to provide complex testing with reduced operator involvement. Consequently, practice of aspects of the present invention may facilitate reduced operator costs. In addition, and independently of the reduced handling aspects of the invention, practice of preferred embodiments of the present invention may provide a reduced likelihood of errors in the routing and processing of samples. This can reduce errors and reduce the need for retesting, which improves accuracy and reliability as well as reduce costs.

The front-end system preferably accepts samples in a convenient form, for example as standard-sized trays of forty or fifty standard size sample tubes or as individual sample tubes provided to one or more immediate or STAT positions. Sample tubes are automatically handled within the front-end system to extract a desired number of aliquots, generally of excess fluid volume since accurate allocations are preferably made in the destination clinical chemistry analyzers. The desired number of sample aliquots are drawn and provided to smaller tubes, referred to here as reaction vessels. These reaction vessels are individually transported to one of what may be a plurality of reaction vessel transfer stations within the front-end system. The reaction vessel transfer stations are capable of transferring the reaction vessels with their aliquots to the one or more clinical chemistry analyzers coupled to the front-end system.

When positive sample identification is provided for the input sample tubes, the front-end system automatically identifies the input sample tubes. This might be accomplished using a bar code reader and the information retrieved from the bar code

label is provided to a clinical chemistry interface DataLink™ computer coupled to the front-end system and analyzers. The DataLink computer communicates what tests are to be performed on each sample and how many aliquots of the sample are required to perform those tests. Additionally, the DataLink computer determines which analyzer is to receive any given aliquot and at what times the aliquots are provided to the analyzers. It may be desirable for the front-end system to pass sample identification information directly to the clinical chemistry analyzers when such identification is useful to that analyzer. This communication provides more certain sample identification. Most of the other communication and control functions are performed by or through the DataLink computer so that the DataLink computer controls the overall system of the front-end and the clinical chemistry analyzers.

In a preferred aspect of the invention, the front-end system accesses sample tubes from the tube trays or other tube storage locations and provides the sample tubes to a sample identification station. This initial transport of the sample tubes may be accomplished by a sample tube overhead carriage and gripper capable of picking up a sample tube, transporting the sample tube with an acceptable level of accuracy and placing the sample tube at the sample tube identification station. The sample identification station may, for example, include a tube spinner positioned adjacent a bar code reader to read a bar code on the tube as the tube is spun. It should be noted that the sample tube typically only need rotate the sample tube a sufficient amount for the bar code to be read. Instead of using a tube spinner, the bar code or other identification information can be read when the overhead carriage transports the sample tube past the bar code or other reader. Most preferably, the sample identification information is then passed to the DataLink computer, which determines the testing protocol for the sample and schedules the number of aliquots to be drawn and the routing for the aliquots.

In particularly preferred embodiments of the invention, the front-end system draws aliquots from sample tubes without uncapping the sample tubes. These particularly preferred embodiments may draw aliquots from the sample tubes by piercing the sample tube caps and drawing the aliquots through the sample cap. Most preferably, the volume of sample drawn corresponds to the number of aliquots required so that the system performs a single cap piercing and a single sampling for as many aliquots of the sample as are required. The sample probe dispenses aliquots of the sample into the appropriate number of reaction vessels for that sample and that testing protocol. The reaction vessels are transported to appropriate reaction vessel transfer stations that provide the reaction vessels to the appropriate destination clinical chemistry analyzers.

In preferred embodiments of a front-end system in accordance with the invention, reaction vessels are transported within the front-end system on a continuous belt that transports the reaction vessels by the sample drawing assembly and the various reaction vessel transfer stations within the front-end system. Reaction vessels are carried on the belt within specially adapted carriages that can be accessed from the side to move reaction vessels onto and off of the carriage/belt assembly. The use of a side accessed carriage for transporting the reaction vessels allows for mechanically simpler and more precise handling of the reaction vessels, since the transport of the reaction vessels occurs primarily in a fixed horizontal plane.

Having thus provided an overview of certain embodiments of the present invention, this specification now provides a more detailed discussion of preferred embodiments of the present invention with particular reference to the drawings. FIG. 1 provides a schematic view of an embodiment of the present invention. Typical implementations of the front-end system are coupled to at least two different types of analyzers. It is, of course, possible to couple the front-end system to multiple ones of a single type of analyzer to obtain greater throughput. This is presently not considered to

be a primary application of the present invention, even though aspects of the present invention facilitate such an application.

A particularly preferred embodiment of the present invention can provide a front-end system to a combination of the Access Special Chemistry Analyzer (here, the Access analyzer) and to a SYNCHRON LX20 General Chemistry Analyzer (here, the SYNCHRON LX20 analyzer), both of which analyzers are available from the Clinical Chemistry Division of Beckman Coulter, Inc. of Brea, California. FIG. 1 illustrates this particular implementation. The front-end system is indicated generally at 10, the Access analyzer 12 is shown on an appropriate bench 14 and the SYNCHRON LX20 analyzer 16 is on the other side of the front-end system 10. A DataLink computer 18 controls the overall system and the testing of samples. A description of the exemplary Access Special Chemistry Analyzer can be found in U.S. Patent No. 5,575,976 to Choperena, et al., which patent is hereby incorporated by reference in its entirety. Descriptions of aspects of the SYNCHRON LX20 General Chemistry Analyzer are provided in U.S. Patent No. 5,863,506 to Farren, U.S. Patent No. 5,833,925 to Hsu, et al., and in U.S. Patent Application Serial No. 08/748,135 to Robins, et al., entitled "Pressure Detector for Chemical Analyzers," and in U.S. Patent Application Serial No. 08/746,649 to Fechtner, et al., "Automatic Chemistry Analyzer with Sample Cup Piercing Assembly", which patents and applications are hereby incorporated by reference in their entirety. While other combinations of analyzers might be utilized, this exemplary combination of analyzers provides a practical example of an environment in which aspects of the present invention find particularly favorable application.

FIG. 2 provides a partial perspective view of the transport mechanisms of the front-end system of FIG. 1. FIG. 1 shows schematically that the front-end system 10 includes a set of four drawers 20 that can be loaded with trays of sample tubes 22. One of the drawer assemblies is indicated at 30 in FIG. 2. Drawer assembly 30 extends outward

from the front-end system so that an operator can load a tray 32 of sample tubes 34 into the drawer assembly 30. FIG. 2 shows all four of the sample tube trays 32 along with a few of the many possible sample tubes 34 that can be provided to the front-end system. Most preferably, the tubes provided to the front-end system are of a standard size, such as 13 or 16 millimeters in diameter and 65, 75, 93 or 100 millimeters in height. Because of the way in which the tubes are accessed, the front-end system can accept and access trays with a random assortment of different size and type tubes. In addition, because of the particularly preferred sample tube identification method, the bar codes of the sample tubes need not be aligned in any particular fashion within the trays.

Generally the samples provided to the front-end system are blood that has been centrifuged. Samples are generally cooled throughout transport and processing to preserve the samples. Most preferably, the sample tubes are maintained closed throughout processing.

Drawer assembly 30 includes a predetermined number of immediate or STAT tube locations 36. Sample tubes can be loaded into the STAT locations 36 for more immediate testing, bypassing what may be as many as two hundred samples queued in the system. Typically, an operator will load one or more sample tubes into the STAT positions and press the STAT button 38 (FIG. 1) on the front-end system. The sample handling system then accesses a sample tube from the first occupied STAT sample tube position. Aliquots are drawn from the sample tube and then the sample tube is returned to the STAT location or another output tube location. The front-end system then accesses the sample tube in the next occupied STAT tube location, until all of the sample tubes in the STAT tube locations are accessed. The system can then return to the normal processing of sample tubes.

Whether in accessing sample tubes from the STAT locations or from the many other sample tube locations within the illustrated four trays 32, sample tubes 34 are

accessed using the gripper 40 of an overhead carriage assembly 42. The gripper 40 includes opposing arms that can be brought together to grip and lift successive sample tubes from the trays 32. Sample tubes are successively picked up from the sample trays 32 and transported to the sample tube identification station indicated schematically

5 illustrated as 44 in Fig. 2. Identification information is first read off of the tubes, preferably using a tube spinner and an associated bar code reader, and then aliquots are drawn from the sample tubes in accordance with the testing protocol associated with the sample identification information. After the desired number of aliquots are drawn and provided to respective ones of the reaction vessels, the sample tube is returned to the tray

10 and a next sample tube can be accessed.

A cap piercing and sampling assembly 46 is associated with the sample tube spinner and located centrally within the apparatus of FIG. 2. Needle 48 of the assembly 46 pierces the cap of the tube positioned in the tube spinner and draws a volume of liquid from the sample tube 44. Aliquots of the sample are provided to individual reaction

15 vessels for transport through the rest of the front-end system and eventually to the associated clinical chemistry analyzers. The needle is automatically cleaned between samplings to ensure sample integrity.

Reaction vessels are transported throughout the front-end system in carriages 50 specially adapted for securely holding the reaction vessels and allowing access to the

20 reaction vessels from the sides. Side access is useful for ease in transferring and transporting the samples through the system. The reaction vessel carriages are mounted on a continuous belt that travels around the periphery of the front-end system. The carriages 50 and belt 52 eventually transport the reaction vessels to one of at least one and preferably two or more reaction vessel transfer stations 54, 56. These reaction vessel

25 transfer stations 54, 56 move the reaction vessels from the belt to a transport mechanism adapted for delivering the reaction vessels into the target associated clinical chemistry

analyzer. Considering the assembly illustrated in FIG. 2 within the overall system illustrated in FIG. 1, transfer station 54 might be associated with the Access analyzer 12 and transfer station 56 might be associated with the SYNCHRON LX20 analyzer 16.

Individual components of the system illustrated in FIG. 2 are now described further with reference to a number of sub-assembly drawings.

FIG. 3 shows a further view of the overhead carriage and gripper assembly 42 of FIG. 2. Tube gripper 40 includes a pair of opposed arms 60 that can be closed on a sample tube to grip the tube. Preferably the arms are of a sufficient length so that the gripper 40 grips a sample tube just above the tray as the tube sits upright in the tray. This allows the sample tubes to more readily be of arbitrary length while still ensuring the sure grip of the sample tube by the gripper. The arms 60 of the gripper preferably operate under pneumatic control, with pneumatic communication to the arms effected through a portion of sleeve 62 that extends between the carriage and the gripper. In other instances, another mechanism might be used for opening and closing the arms. Sleeve 62 moves within air piston 64 to move the gripper either up or down, as required to transport the sample tube safely away from the tray and to the tube spinner.

The air piston 64 and gripper assembly are mounted to the carriage frame by a slide bracket 66 mounted on a linear ball slide 68. The slide bracket moves the gripper assembly along the direction of the X-axis as required for positioning the sample tube held by the gripper 40. The linear ball slide 68 is mounted on either end to pillow bearings 70, 72, which are in turn slidably mounted to rods 74, 76 extending in the Y-axis direction to facilitate translation of the gripper assembly along the direction of the Y-axis. The carriage includes a generally rectangular frame 78 that holds the various components in fixed relation with one another. Not illustrated in the figure are the various belts and translation motors, generally known in the art, that are used in translating the gripper assembly to its desired position. Actuation of the various illustrated elements and the

process of positioning sample tubes within the front-end system is controlled by a controller within the front-end system, also not shown in FIG. 3.

Air piston 64 and the gripper assembly may be provided with a motor 80 capable of spinning a tube held in the gripper. This optional additional motor might be used if, instead of providing a tube spinner within the front-end system, the gripper is used to spin a sample tube. In this alternative embodiment, the overhead carriage and gripper assembly positions a sample tube adjacent a bar code reader. The gripper assembly then rotates the tube sufficiently, in this case through approximately 270°, to facilitate reading the bar code information from the label on the sample tube. Other variations on the particular components illustrated in FIG. 3 are, of course possible, so long as the primary purpose of safely and accurately transporting sample tubes in three dimensions is met.

Aliquots of samples are dispensed into reaction vessels and transported through the front-end system within reaction vessels, such as the one indicated at 90 in FIG. 4. Reaction vessels 90 can be of various sizes and shapes, but will preferably contain an amount of liquid on the order of the largest aliquot that will be required by the associated clinical chemistry analyzers. In the illustrated embodiment, the reaction vessels are preferably substantially clear plastic tubes that can readily be rendered clean and sterile, whether as a result of manufacture or through subsequent cleaning.

FIG. 5 shows a carriage 100 specially adapted for carrying a reaction vessel such as reaction vessel 90 shown in FIG. 4. In a preferred embodiment, the carriage 100 is formed from a material whose dimensions do not vary appreciably over an effective temperature range, is sturdy and durable, and is easily formed. The carriage might, for example, be plastic. The carriage 100 is provided with a vertically extending flat portion 102 that is suited for mounting the carriage onto a carrier of some type, for example a belt in particularly preferred embodiments of the present invention. Connector holes 104 are provided for attaching the carriage to the carrier. An access hole 105 is provided through

which the volume of the reaction vessel can be accessed. In particularly preferred embodiments of the present invention, a sample probe can be extended through the access hole 105 to allow an aliquot to be delivered into the reaction vessel.

Preferably the carriage 100 is open on at least two sides to allow for laterally moving reaction vessels onto and off of the carriage 100. Clips 106 are provided on opposite sidewalls of the carriage and are positioned so that holding faces 108 of the clips are spaced apart by an amount less than the diameter of a reaction vessel. The clips 106 are preferably formed from a resilient structure, which could be metal but is more preferably plastic and molded with the rest of the carriage to facilitate volume manufacture of the carriage. Opposing faces 108 of the clips 106 positioned on opposite walls of the carriage effectively provide cups or stable positions for holding the top and bottom of a reaction vessel. Most preferably the arms of the clips 106 are sufficiently springy to firmly grip reaction vessels, while still allowing a reaction vessel to be moved onto and off of the carriage 100 without excessive force and without too violent of motions.

Movement of reaction vessels onto and off of the carriage is, in particularly preferred embodiments of the invention, further facilitated by downwardly extending legs 110 that mate with receiving grooves at reaction vessel transfer stations to hold the carriage in a position laterally fixed in the direction opposite to the force applied to the carriage. The illustrated embodiment of a carriage includes front and back legs for holding the carriage in place during transfer of a reaction vessel. It should be appreciated that other configurations of extensions can be provided that can slide into a corresponding receiving structure to hold the carriage in position during transfer. The carriage need not be precisely held in place. Rather, the preference for holding the carriage in place during transfer of the reaction vessel is that movement of reaction vessels in adjacent carriages

be sufficiently small as to not present a significant danger of spilling or splashing liquid from the adjacent reaction vessels.

FIG. 6 more clearly illustrates the cap piercing and sampling assembly 46 in relation to other components of the system. The overhead carriage 42 and the belt 52 are not shown in the sub-assembly view of FIG. 6. Drawer assembly 30, like that illustrated and discussed with reference to FIG. 2 above, carries a sample tray 32 with a sample tube 34 within a rack 32. The overhead carriage and gripper transports a sample tube to the tube spinner 120, which holds the tube 44 so as to expose the bar code or other identification label provided on the sample tube. Preferably, the tube spinner centrally positions and securely holds tubes of different diameters both so that the tubes can be spun and so that the tubes are accurately located for drawing aliquots of the sample. This can be accomplished better using a tube hold down assembly, discussed below with reference to FIGS. 8 and 9. After the initial transport, the sample tube is spun so that a bar code reader can read the bar code information from the sample tube. Responsive to the sample identification information, a host computer determines what tests are to be run on the sample and issues a request to the front-end system for a number of aliquots to be drawn and passed on to the clinical chemical analyzers.

Sample tube 44 is held securely in the tube spinner 120 so that the cap on the sample tube can be pierced. The cap piercing and sampling assembly 46 provides a sample probe having a non-coring needle 48 for piercing the cap of the sample tube. The needle 48 is mounted on an arm 122 that is vertically translated so as to extend through the cap of the sample tube 44. The sampling assembly provides liquid level sensing for the needle 48 so that the needle is accurately positioned with respect to the liquid within the tube, allowing either different size tubes or different sample volumes to be used within the testing system.

When the needle is properly situated within the sample liquid, the desired volume of liquid equal to the number of desired aliquots times the volume of each aliquot desired is drawn from the sample tube. The appropriate volume of sample is drawn from the sample tube using a controlled pressure and vacuum mechanism operatively connected to the needle of the sampling assembly. As the sample is drawn into the needle, the system tests for the presence of clots, for example by monitoring the pressure present at the needle. If a clot is found as the sample is drawn, positive pressure is applied to clear the needle and the aspiration of the sample will begin again. Most preferably, an optical probe is provided in communication with the sample drawn through the needle and probe that is capable of performing a variety of optical measurements on the sample as it is drawn.

After drawing the sample, the arm 122 is translated vertically again to remove the needle 48 from the sample tube 44. Although not shown here, it is often useful to provide an auxiliary arm to hold the cap on the top of the sample tube so that the cap remains in place as the needle is withdrawn from the sample tube. The drawn sample volume is held within the sampling assembly. The sampling arm 122 and the needle 48 are rotated so that the needle is positioned over the first reaction vessel to be filled. Positive pressure is applied and the desired aliquot of sample is output into a reaction vessel. The dispensed reaction vessel is translated away from the filling position and a new reaction vessel is positioned and then filled to the desired level. This process continues until the desired aliquots have been dispensed.

The needle and sampling assembly are then cleaned to prepare the assembly for further sampling. The accessed sample tubes are moved by the carriage and gripper assembly to an output position within the previously accessed tray or into a tray used as an outgoing tray. This description of the cap piercing and sampling assembly is abbreviated. Further description and an alternate embodiment of a cap piercing assembly

can be found in the previously incorporated by reference U.S. Patent Application Serial No. 08/746,649 to Fechtner, et al., entitled "Automatic Chemistry Analyzer with Sample Cup Piercing Assembly." Application Serial No. 08/746,649 is hereby again incorporated by reference in its entirety for its teachings regarding the design and operation of a cap
5 piercing and sampling assembly, including a cleaning station for the sample probe.

Referring still to FIG. 6, empty reaction vessels are stored in a bin 124 and are removed from the bin by a reaction vessel feeder 126. The reaction vessel feeder moves individual reaction vessels from the bin onto a carriage 128 positioned for receiving a reaction vessel from the bin. Sample aliquots are dispensed into reaction vessels from the
10 needle 48 of the sample probe according to the testing protocols associated with the identification information associated with the sample. The position of the reaction vessel for the liquid transfer operation may be within the holding bin 124 or the reaction vessel may be within the carriage 128 through an opening provided on an upper surface of the carriage.

15 Carriages with filled reaction vessels are moved away from the reaction vessel transfer feeder 124 by translation of the belt 52 (FIG. 2) along with the attached carriages 128 (FIG. 6). Although it is not illustrated here, the belt typically has connected to it many of the carriages 128 positioned in close relationship to each other. The belt may be translated in either direction to move each reaction vessel to its destination clinical
20 chemistry analyzer. In practice, however, the belt translates an assembly of carriages carrying filled reaction vessels in the same clockwise or counterclockwise direction. The belt 52 is held at a desired vertical position by wheels positioned along the periphery of the front-end assembly. Four such wheels are shown in FIG. 2; two of these wheels 130, 132 are shown in greater detail in FIG. 6 and include lips 136 to support the belt and hold
25 the belt in a substantially fixed and constant vertical position. Preferably the belt is formed from a durable material having good flexibility in the direction of its thinner

dimension, with a substantial degree of support along the vertical in the installation of the belt 52 illustrated in FIG. 2. Lateral motion of the belt is limited somewhat in practical operations by tension applied to the belt.

The belt translates filled reaction vessels around the periphery of the assembly of the front-end system, moving the reaction vessels from the vessel feeding station 126 to one or more reaction vessel transfer station. The reaction vessel transfer stations move the reaction vessels from the front-end system into a mechanical interface associated with the target clinical chemistry analyzer. One such reaction vessel transfer station is indicated at 138 in FIG. 6. A carriage about to be received by the reaction vessel transfer station 138 is indicated at 140.

The reaction vessel feeder 126 of FIG. 6 and the reaction vessel transfer station 138 are better illustrated in FIG. 7. A carriage is translated on the belt, neither illustrated in this view, to place the carriage in position to transfer the reaction vessel onto or off of the carriage. The feeder or transfer station includes a set of grooves 150 for receiving the legs 110 (FIG. 5) of the carriage. Grooves 150 are tapered to be wider at the entrance and exit to the grooves and narrower at a central portion that holds the carriage in place during a transfer operation. The tapering of the grooves makes it easier for carriages on the belt to be guided into the reaction vessel transfer position, thereby reducing the tolerances required in translating the carriages on the belt. When the carriage is in proper position, a transfer arm 152 extends to move the reaction vessel onto or off of the carriage.

Grippable fingers 154 are provided on the transfer arm 152 to hold a reaction vessel during a transfer operation. When the apparatus of FIG. 7 is used as a reaction vessel feeder, reaction vessels are fed laterally to a position approximately at the illustrated position of the fingers. The fingers 154 grip the fed reaction vessel and the arm 152 extends to insert the reaction vessel into the carriage 100 so that the reaction vessel 90 is securely held within the clips 106 of the carriage. Movement of the transfer

arm may be accomplished by an air cylinder 156 under the control of the controller for the front-end system. Similarly, the gripping motion of the fingers 154 is accomplished by conventional mechanisms under control of the controller for the front-end system.

When the apparatus of FIG. 7 is used as a reaction vessel transfer station, a filled
 5 reaction vessel is within the carriage initially presented to the transfer station. The transfer arm extends out, accessing the carriage from the side and gripping the reaction vessel within the carriage. After the fingers 154 firmly grip the reaction vessel, the transfer arm 152 is extended further to provide the reaction vessel to the receiving portion of the associated clinical chemistry analyzer. In practice, the transfer arm is preferably of
 10 sufficient length to deliver the reaction vessel into the sample wheel of a closely situated Access analyzer or into a similar receiving assembly in the SYNCHRON LX20. Preferably, after reaction vessels are used in the target clinical chemistry analyzer the transfer arm retrieves the reaction vessel from the clinical chemistry analyzer for disposal. As illustrated in FIG. 7, the reaction vessel transfer station (but not the reaction vessel
 15 feeder) is provided with a hole 158 through which used reaction vessels can be dropped. When used reaction vessels are retrieved by the front-end system from the clinical chemistry analyzer, the reaction vessels are provided through the hole 158 directly to a prepared biohazard receptacle.

FIGS. 8 and 9 show complementary views of a preferred assembly for centering
 20 and holding sample tubes 44 in the preferred tube spinner 120 during cap piercing and sampling operations. FIG. 9 is a partially disassembled view to better illustrate the structure. The tube centering and holding assembly includes first and second opposing jaws 160, 162 that can be closed around a sample tube 44 positioned within the tube spinner 120. In the illustrated embodiment, it is unimportant whether the tube is held in a
 25 tube spinner so long as the tube is held appropriately for access by a sample probe. When jaws 160, 162 are closed around the sample tube 44, an opening 163 is provided between

the jaws 160, 162 to allow the sample probe needle (48 in FIG. 6) access to the capped sample tube 44. The jaws 160, 162 are opened and closed by movement of the actuator arms 164, 166 on which the jaws are respectively mounted.

First and second sets of opposing buttons 168, 170 are provided on the opposing
 5 faces of the jaws 160, 162. The buttons 168, 170 are retractable and have cupped faces so that, when the jaws 160, 162 close around a sample tube 44, the buttons retract within their respective jaws and center the sample tube with respect to the opening 163 between the jaws. Typically the buttons are spring-loaded so that, when no tube is present
 10 between the buttons, the sample buttons extend from the faces of the jaws. In practice, the lower set of buttons 170 is sufficient to center all sizes of tubes; for shorter tubes the top set of buttons 168 will not be used for centering. For shorter tubes, the upper set of buttons close above the tube, leaving an opening between the button faces through which the sample probe needle can extend. The upper buttons 168 also serve to hold down the
 15 lower tube when the sample probe needle is withdrawn from the sample tube cap. For taller tubes, the laterally extending arms 172, 173 of the jaws serve as the hold down mechanism for withdrawing the probe needle from the taller capped sample tube. As illustrated in FIG. 9, the jaws and extending jaws are preferably sized to allow the arms 172, 173 to be positioned adjacent the top of a capped tall (*e.g.*, 100 mm) sample tube.

The jaws of the centering and holding assembly are laterally positioned by
 20 movement of actuator arms 164, 166, which extend from a pair of actuator elements 175 which move with respect to a fixed body 177. Movement of the actuator elements and hence the jaws 160, 162 is accomplished by air pressure and/or vacuum provided through air cylinder 179. The assembly of FIGS. 8 and 9 is positioned with respect to a sample tube in the tube spinner after the sample identification operation is complete and the jaws
 25 are closed around the sample tube to facilitate the cap piercing and sampling operations.

All of the mechanical elements shown in FIGS. 2-3 and 6-9 are operated under control of the controller for the front-end system. A conventional control system is provided to effect motor control and other conventionally known functions for effecting the automatic control and operation of the illustrated systems. Process monitors might be provided throughout the front-end system to ensure proper operation of the various positioning mechanisms. Such process monitors would provide feedback directly to the controller for the front-end system. Similarly, an overall and coordinated control system is preferably provided to the control system.

FIG. 10 provides an operational overview of a control system for the FIG. 1 system. In discussing the control system of FIG. 10, it is convenient to assume that all sample tubes input to the system are labeled with a bar code that identifies the samples with a unique number and that directly or indirectly defines a series of tests to be performed on the sample. Test assignments are preferably stored in or accessed by the DataLink computer 18, which may be integrated with an overall laboratory information system (LIS) computer 180 when the FIG. 1 system is provided in a hospital or clinical environment. Tests might be associated with a particular sample within the LIS computer 180 in a manner that can be accessed by the DataLink computer and provided to the front-end system 10 and the chemistry analyzers to schedule the testing protocols applied to the various samples.

The DataLink computer 18 acts as an interface between the controllers within each of the front-end system 10 and the clinical chemistry analyzers 12, 16 and, in some implementations, an LIS computer 180. A sample is input to the system and transported to an identification information reading station such as the spinner and bar code reader assembly discussed above. The bar code or other code is read and the sample identification information is transferred through the controller of the front-end system to the DataLink computer 18. The DataLink computer responds to receipt of the sample

identification information by looking up the tests to be performed on that sample. In some embodiments, this look up operation is accomplished by accessing an LIS computer 180. The DataLink computer 18 transmits a schedule of test requirements for the sample, including an instruction on the number of aliquots to be drawn from the sample. If the sample identification is not stored within the DataLink computer 18, an error is flagged, the sample tube is not sampled and the tube is transported to a sample tube output position.

The front-end system 10 draws aliquots from a sample tube in accordance with instructions from the DataLink computer 18. The controller of the front-end system keeps track of the sample identification information associated with each filled reaction vessel on the belt. When a sample tube reaches the reaction vessel transfer station associated with the target clinical chemistry analyzer, the front-end system issues a message to the target clinical chemistry analyzer. The target clinical chemistry analyzer acknowledges receipt of the message and sends a return message that the target clinical chemistry analyzer is ready to receive a reaction vessel. The front-end system 10 then issues a message that identifies the sample by its identification information to the target clinical chemistry analyzer 12 or 16.

After this “handshaking” procedure is complete, the reaction vessel is transferred to the target clinical chemistry analyzer and the analyzer issues a message confirming receipt of the reaction vessel. The target clinical chemistry analyzer then issues a message to the DataLink computer requesting testing instructions for the received sample. The DataLink computer 18 provides the target clinical chemistry analyzer with testing instructions, which are associated with the sample identification information and position within the analyzer. The analyzer then proceeds through its assigned testing protocols. Independent of this testing, additional reaction vessels corresponding either to this sample

or other unrelated to this sample might be transferred into one or more of the analyzers, repeating the sample transfer handshaking and protocols described above.

When chemistry tests are completed on a given reaction vessel, the results of the tests are transferred back to the DataLink computer 18. The DataLink computer can then make decisions as to whether additional tests are to be run on the sample or if tests should be repeated or if operator intervention is required. The analyzers associated with the front-end system operate independently but under central control. In this way, highly flexible testing regimes can be practiced automatically, that is, without operator intervention. Different sequences of tests within different analyzers can be programmed and sequenced in the system. For example, an initial test can be scheduled in the illustrated SYNCHRON LX20 analyzer and later a test can be run in the illustrated Access analyzer. Additionally, a decision as to whether the later Access test should be run or not can be made as a result of the earlier testing in the SYNCHRON LX20 analyzer.

By adding the described and illustrated front-end system and appropriate computer controls to an assembly of already available clinical chemistry analyzers, a significant improvement in flexibility and efficiency can be obtained. At the same time, embodiments of the present invention can facilitate a reduction in the possibility of handling or routing errors, reducing the need for retesting and reducing the possibility of reporting erroneous results.

While the present invention has been described in terms of certain preferred embodiments thereof, those of ordinary skill in the art will appreciate that variations and modifications on the described embodiments can be made within the general teachings of the present invention. Consequently, the scope of the present invention is not to be

5 limited to any particular embodiment described herein but is instead to be determined from the claims, which follow.

10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128
129
130
131
132
133
134
135
136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390
391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442
443
444
445
446
447
448
449
450
451
452
453
454
455
456
457
458
459
460
461
462
463
464
465
466
467
468
469
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551
552
553
554
555
556
557
558
559
560
561
562
563
564
565
566
567
568
569
570
571
572
573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612
613
614
615
616
617
618
619
620
621
622
623
624
625
626
627
628
629
630
631
632
633
634
635
636
637
638
639
640
641
642
643
644
645
646
647
648
649
650
651
652
653
654
655
656
657
658
659
660
661
662
663
664
665
666
667
668
669
670
671
672
673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750
751
752
753
754
755
756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772
773
774
775
776
777
778
779
780
781
782
783
784
785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838
839
840
841
842
843
844
845
846
847
848
849
850
851
852
853
854
855
856
857
858
859
860
861
862
863
864
865
866
867
868
869
870
871
872
873
874
875
876
877
878
879
880
881
882
883
884
885
886
887
888
889
890
891
892
893
894
895
896
897
898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949
950
951
952
953
954
955
956
957
958
959
960
961
962
963
964
965
966
967
968
969
970
971
972
973
974
975
976
977
978
979
980
981
982
983
984
985
986
987
988
989
990
991
992
993
994
995
996
997
998
999
1000
1001
1002
1003
1004
1005
1006
1007
1008
1009
1010
1011
1012
1013
1014
1015
1016
1017
1018
1019
1020
1021
1022
1023
1024
1025
1026
1027
1028
1029
1030
1031
1032
1033
1034
1035
1036
1037
1038
1039
1040
1041
1042
1043
1044
1045
1046
1047
1048
1049
1050
1051
1052
1053
1054
1055
1056
1057
1058
1059
1060
1061
1062
1063
1064
1065
1066
1067
1068
1069
1070
1071
1072
1073
1074
1075
1076
1077
1078
1079
1080
1081
1082
1083
1084
1085
1086
1087
1088
1089
1090
1091
1092
1093
1094
1095
1096
1097
1098
1099
1100
1101
1102
1103
1104
1105
1106
1107
1108
1109
1110
1111
1112
1113
1114
1115
1116
1117
1118
1119
1120
1121
1122
1123
1124
1125
1126
1127
1128
1129
1130
1131
1132
1133
1134
1135
1136
1137
1138
1139
1140
1141
1142
1143
1144
1145
1146
1147
1148
1149
1150
1151
1152
1153
1154
1155
1156
1157
1158
1159
1160
1161
1162
1163
1164
1165
1166
1167
1168
1169
1170
1171
1172
1173
1174
1175
1176
1177
1178
1179
1180
1181
1182
1183
1184
1185
1186
1187
1188
1189
1190
1191
1192
1193
1194
1195
1196
1197
1198
1199
1200
1201
1202
1203
1204
1205
1206
1207
1208
1209
1210
1211
1212
1213
1214
1215
1216
1217
1218
1219
1220
1221
1222
1223
1224
1225
1226
1227
1228
1229
1230
1231
1232
1233
1234
1235
1236
1237
1238
1239
1240
1241
1242
1243
1244
1245
1246
1247
1248
1249
1250
1251
1252
1253
1254
1255
1256
1257
1258
1259
1260
1261
1262
1263
1264
1265
1266
1267
1268
1269
1270
1271
1272
1273
1274
1275
1276
1277
1278
1279
1280
1281
1282
1283
1284
1285
1286
1287
1288
1289
1290
1291
1292
1293
1294
1295
1296
1297
1298
1299
1300
1301
1302
1303
1304
1305
1306
1307
1308
1309
1310
1311
1312
1313
1314
1315
1316
1317
1318
1319
1320
1321
1322
1323
1324
1325
1326
1327
1328
1329
1330
1331
1332
1333
1334
1335
1336
1337
1338
1339
1340
1341
1342
1343
1344
1345
1346
1347
1348
1349
1350
1351
1352
1353
1354
1355
1356
1357
1358
1359
1360
1361
1362
1363
1364
1365
1366
1367
1368
1369
1370
1371
1372
1373
1374
1375
1376
1377
1378
1379
1380
1381
1382
1383
1384
1385
1386
1387
1388
1389
1390
1391
1392
1393
1394
1395
1396
1397
1398
1399
1400
1401
1402
1403
1404
1405
1406
1407
1408
1409
1410
1411
1412
1413
1414
1415
1416
1417
1418
1419
1420
1421
1422
1423
1424
1425
1426
1427
1428
1429
1430
1431
1432
1433
1434
1435
1436
1437
1438
1439
1440
1441
1442
1443
1444
1445
1446
1447
1448
1449
1450
1451
1452
1453
1454
1455
1456
1457
1458
1459
1460
1461
1462
1463
1464
1465
1466
1467
1468
1469
1470
1471
1472
1473
1474
1475
1476
1477
1478
1479
1480
1481
1482
1483
1484
1485
1486
1487
1488
1489
1490
1491
1492
1493
1494
1495
1496
1497
1498
1499
1500
1501
1502
1503
1504
1505
1506
1507
1508
1509
1510
1511
1512
1513
1514
1515
1516
1517
1518
1519
1520
1521
1522
1523
1524
1525
1526
1527
1528
1529
1530
1531
1532
1533
1534
1535
1536
1537
1538
1539
1540
1541
1542
1543
1544
1545
1546
1547
1548
1549
1550
1551
1552
1553
1554
1555
1556
1557
1558
1559
1560
1561
1562
1563
1564
1565
1566
1567
1568
1569
1570
1571
1572
1573
1574
1575
1576
1577
1578
1579
1580
1581
1582
1583
1584
1585
1586
1587
1588
1589
1590
1591
1592
1593
1594
1595
1596
1597
1598
1599
1600
1601
1602
1603
1604
1605
1606
1607
1608
1609
1610
1611
1612
1613
1614
1615
1616
1617
1618
1619
1620
1621
1622
1623
1624
1625
1626
1627
1628
1629
1630
1631
1632
1633
1634
1635
1636
1637
1638
1639
1640
1641
1642
1643
1644
1645
1646
1647
1648
1649
1650
1651
1652
1653
1654
1655
1656
1657
1658
1659
1660
1661
1662
1663
1664
1665
1666
1667
1668
1669
1670
1671
1672
1673
1674
1675
1676
1677
1678
1679
1680
1681
1682
1683
1684
1685
1686
1687
1688
1689
1690
1691
1692
1693
1694
1695
1696
1697
1698
1699
1700
1701
1702
1703
1704
1705
1706
1707
1708
1709
1710
1711
1712
1713
1714
1715
1716
1717
1718
1719
1720
1721
1722
1723
1724
1725
1726
1727
1728
1729
1730
1731
1732
1733
1734
1735
1736
1737
1738
1739
1740
1741
1742
1743
1744
1745
1746
1747
1748
1749
1750
1751
1752
1753
1754
1755
1756
1757
1758
1759
1760
1761
1762
1763
1764
1765
1766
1767
1768
1769
1770
1771
1772
1773
1774
1775
1776
1777
1778
1779
1780
1781
1782
1783
1784
1785
1786
1787
1788
1789
1790
1791
1792
1793
1794
1795
1796
1797
1798
1799
1800
1801
1802
1803
1804
1805
1806
1807
1808
1809
1810
1811
1812
1813
1814
1815
1816
1817
1818
1819
1820
1821
1822
1823
1824
1825
1826
1827
1828
1829
1830
1831
1832
1833
1834
1835
1836
1837
1838
1839
1840
1841
1842
1843
1844
1845
1846
1847
1848
1849
1850
1851
1852
1853
1854
1855
1856
1857
1858
1859
1860
1861
1862
1863
1864
1865
1866
1867
1868
1869
1870
1871
1872
1873
1874
1875
1876
1877
1878
1879
1880
1881
1882
1883
1884
1885
1886
1887
1888
1889
1890
1891
1892
1893
1894
1895
1896
1897
1898
1899
1900
1901
1902
1903
1904
1905
1906
1907
1908
1909
1910
1911
1912
1913
1914
1915
1916
1917
1918
1919
1920
1921
1922
1923
1924
1925
1926
1927
1928
1929
1930
1931
1932
1933
1934
1935
1936
1937
1938
1939
1940
1941
1942
1943
1944
1945
1946
1947
1948
1949
1950
1951
1952
1953
1954
1955
1956
1957
1958
1959
1960
1961
1962
1963
1964
1965
1966
1967
1968
1969
1970
1971
1972
1973
1974
1975
1976
1977
1978
1979
1980
1981
1982
1983
1984
1985
1986
1987
1988
1989
1990
1991
1992
1993
1994
1995
1996
1997
1998
1999
2000
2001
2002
2003
2004
2005
2006
2007
2008
2009
2010
2011
2012
2013
2014
2015
2016
2017
2018
2019
2020
2021
2022
2023
2024
2025
2026
2027
2028
2029
2030
2031
2032
2033
2034
2035
2036
2037
2038
2039
2040
2041
2042
2043
2044
2045
2046
2047
2048
2049
2050
2051
2052
2053
2054
2055
2056
2057
2058
2059
2060
2061
2062
2063
2064
2065
2066
2067
2068
2069
2070
2071
2072
2073
2074
2075
2076
2077
2078
2079
2080
2081
2082
2083
2084
2085
2086
2087
2088
2089
2090
2091
2092
2093
2094
2095
2096
2097
2098
2099
2100
2101
2102
2103
2104
2105
2106
2107
2108
2109
2110
2111
2112
2113
2114
2115
2116
2117
2118
2119
2120
2121
2122
2123
2124
2125
2126
2127
2128
2129
2130
2131
2132
2133
2134
2135
2136
2137
2138
2139
2140
2141
2142
2143
2144
2145
2146
2147
2148
2149
2150
2151
2152
2153
2154
2155
2156
2157
2158
2159
2160
2161
2162
2163
2164
2165
2166
2167
2168
2169
2170
2171
2172
2173
2174
2175
2176
2177
2178
2179
2180
2181
2182
2183
2184
2185
2186
2187
2188
2189
2190
2191
2192
2193
2194
2195
2196
2197
2198
2199
2200
2201
2202
2203
2204
2205
2206
2207
2208
2209
2210
2211
2212
2213
2214
2215
2216
2217
2218
2219
2220
2221
2222
2223
2224
222

WHAT IS CLAIMED:

1. A clinical chemistry system comprising:
 - a storing station that receives and stores a plurality of primary sample tubes;
 - a sampling station including a sample probe that draws a volume of sample from a
 - 5 sample tube and transfers the volume to a secondary tube;
 - a carriage mechanism that grips one of the plurality of primary sample tubes and transports the sample tube to the sampling station and returns the primary sample tube to the storing station;
 - a first and a second secondary tube transfer station respectively for coupling to
 - 10 first and second analyzers, the first and second sample tube transfer stations adapted to move a sample tube from the continuous transport mechanism to be received by a corresponding one of the first and second analyzers; and
 - a continuous transport mechanism for moving filled secondary tubes to a selected one of the first and second secondary tube transfer stations.
 - 15
2. The system of claim 1, further comprising:
 - a sample identification reader for determining sample identification information from a primary sample tube; and
 - a host computer, the host computer receiving sample identification information
 - 20 and issuing a sample testing message.
3. The system of claim 2, wherein the sample testing message identifies a number of secondary tubes to receive volumes of a sample.
- 25 4. The system of claim 2, wherein the sample testing message identifies a test to be performed by one of the first and the second analyzers.

5. The system of claim 3, wherein the host computer receives the sample identification information output by the sample identification reader.

5 6. The system of claim 4, wherein the host computer receives the sample identification information output from a first or second analyzer.

7. The system of claim 1, further comprising:
 a first clinical chemistry analyzer coupled to receive secondary tubes from the first
 10 secondary tube transfer station;
 a sample identification reader for determining sample identification information from a primary sample tube; and
 a host computer, the host computer receiving sample identification information and issuing a sample testing message.

15 8. The system of claim 7, further comprising:
 a controller that controls, directly or indirectly, the reading of sample identification information and that controls, directly or indirectly, the first secondary tube transfer station,

20 wherein the controller transfers sample identification information to the first clinical chemistry analyzer in conjunction with a transfer of a secondary tube.

9. The system of claim 7, wherein the first clinical chemistry analyzer sends sample identification information to the host computer and receives test instructions from the host
 25 computer.

10. The system of claim 1, wherein the storing station receives and stores trays of sample tubes.

11. The system of claim 10, wherein the storing station includes at least one
5 immediate storage tube location and an associated alert mechanism for identifying when an immediate sample is loaded in the system.

12. The system of claim 10, wherein the sampling station comprises a bar code reader
for reading a bar code from a label of a primary sample tube and the sample probe
10 comprises a cap piercer for removing liquid from the primary sample tube without removing a cap from the primary sample tube.

13. The system of claim 1, wherein the continuous transport mechanism is a
continuous belt that travels adjacent the sampling station and the first and second
15 secondary tube transfer stations.

14. The system of claim 13, wherein a plurality of secondary tube carriages are
mounted to the belt, each secondary tube carriage adapted for carrying a secondary tube.

20 15. The system of claim 14, wherein the secondary tube carriages provide lateral access to a secondary tube within the secondary tube carriage from at least two sides of the secondary tube.

16. The system of claim 14, wherein the secondary tube carriages provide lateral
25 access to a secondary tube within the secondary tube carriage from at least two opposite faces of the secondary tube carriage.

17. The system of claim 14, wherein the secondary tube carriages hold a secondary tube in place with resilient clips.

5 18. The system of claim 14, wherein the secondary tube carriages hold a secondary tube in place using clips that engage an upper and lower portion of a secondary tube.

19. The system of claim 18, wherein the secondary tube carriages provide lateral access to a secondary tube within the secondary tube carriage from at least two opposite
10 faces of the secondary tube carriage.

20. A clinical chemistry system comprising:
a sample identification station for determining sample identification information;
a carriage mechanism that transports samples to the sample identification station;
15 a continuous transport mechanism for moving sample tubes within the system;
first and second sample tube transfer stations respectively for coupling to first and second analyzers, the first and second sample tube transfer stations adapted to move a sample tube from the continuous transport mechanism to an interface of a first or second analyzer; and
20 a host computer, the host computer receiving sample identification information and issuing a sample testing message that includes one of the first and second analyzers as a destination.

21. The system of claim 20, wherein the destination is determined in accordance with
25 a previous test result transmitted from one of a first and second analyzer to the host computer.

22. The system of claim 20, further comprising:

a controller that controls, directly or indirectly, the determining of sample identification information and that controls, directly or indirectly, the first sample tube transfer station,

wherein the controller transfers sample identification information to the first clinical chemistry analyzer in conjunction with a transfer of a secondary tube.

23. The system of claim 22, wherein the first clinical chemistry analyzer sends sample identification information to the host computer and receives test instructions from the host computer.

24. The system of claim 20, further comprising at least one immediate storage tube location and an associated alert mechanism for identifying when an immediate sample is loaded in the system.

25. The system of claim 20, wherein the sample identification station comprises a bar code reader for reading a bar code from a label of a primary sample tube.

26. The system of claim 20, wherein the continuous transport mechanism is a continuous belt that travels adjacent the first and second sample tube transfer stations.

27. The system of claim 20, wherein a plurality of sample tube carriages are mounted to the belt, each sample tube carriage adapted for carrying a sample tube.

28. The system of claim 27, wherein the sample tube carriages provide lateral access to a sample tube within the sample tube carriage from at least two sides of the sample tube.

5 29. The system of claim 27, wherein the sample tube carriages provide lateral access to a sample tube within the sample tube carriage from at least two opposite faces of the sample tube carriage.

10 30. The system of claim 27, wherein the sample tube carriages hold a sample tube in place with resilient clips.

31. The system of claim 27, wherein the sample tube carriages hold a sample tube in place using clips that engage an upper and lower portion of a sample tube.

15 32. The system of claim 31, wherein the sample tube carriages provide lateral access to a sample tube within the sample tube carriage from at least two opposite faces of the sample tube carriage.

ABSTRACT OF THE DISCLOSURE

A front-end system accepts samples and selectively provides aliquots of those samples to selected clinical chemistry analyzers coupled to the front-end system. The front-end system is coupled to an assembly of one or more clinical chemistry analyzers that might, for example, provide complementary analytical tools so that the overall system of front-end system and clinical chemistry analyzers provides a predetermined broad range of clinical analytical testing. The testing protocols for samples input to the overall system can be independently determined. Any sample may undergo a test within one or more of the clinical chemistry analyzers or a series of tests within a single or more typically within plural ones of the analyzers, depending upon the testing sequence defined for that sample. The front-end system automatically identifies samples, draws aliquots, and transports the aliquots to the one or more clinical chemistry analyzers coupled to the front-end system. Sample identification, handling and testing are preferably automated within the overall system to provide complex testing with reduced operator involvement. Consequently, the overall system may facilitate reduced operator costs and a reduced likelihood of errors in the routing and processing of samples.

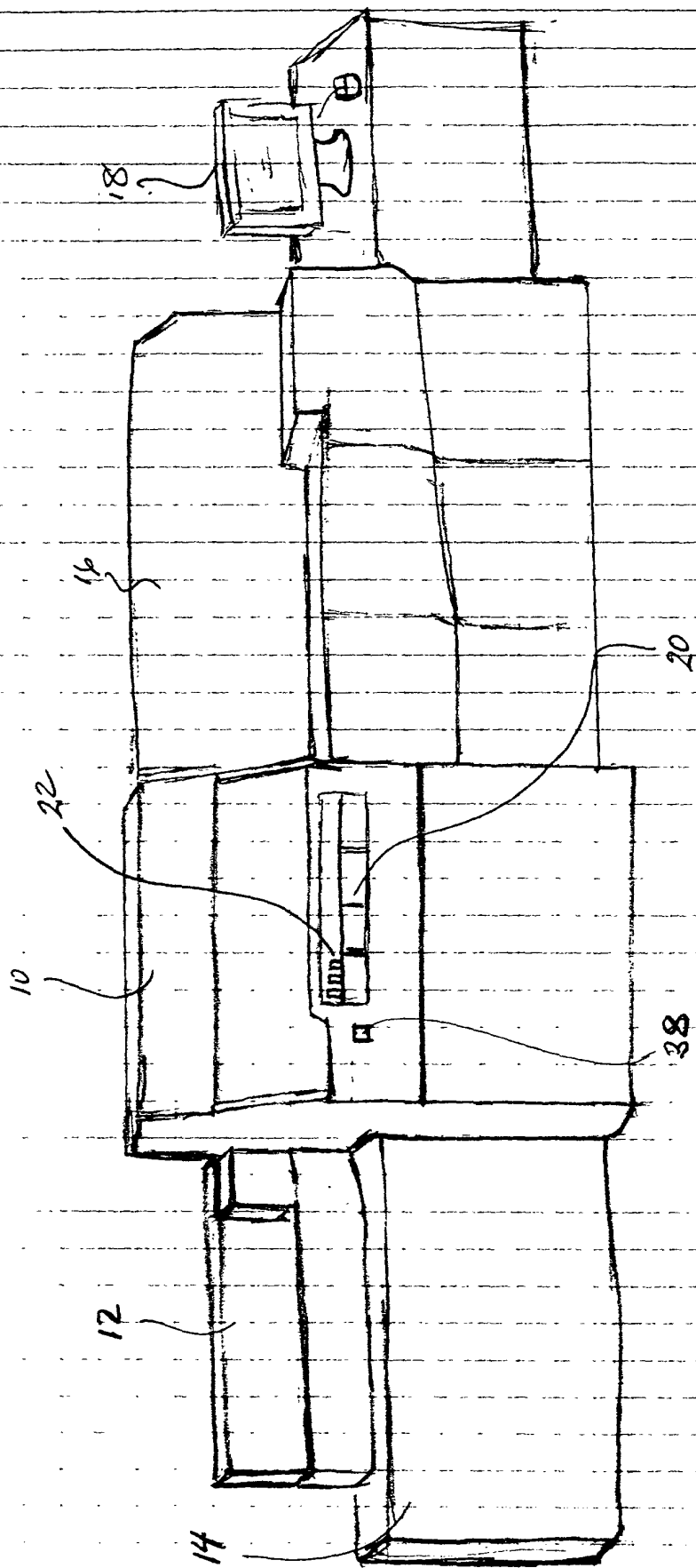


FIG. 1

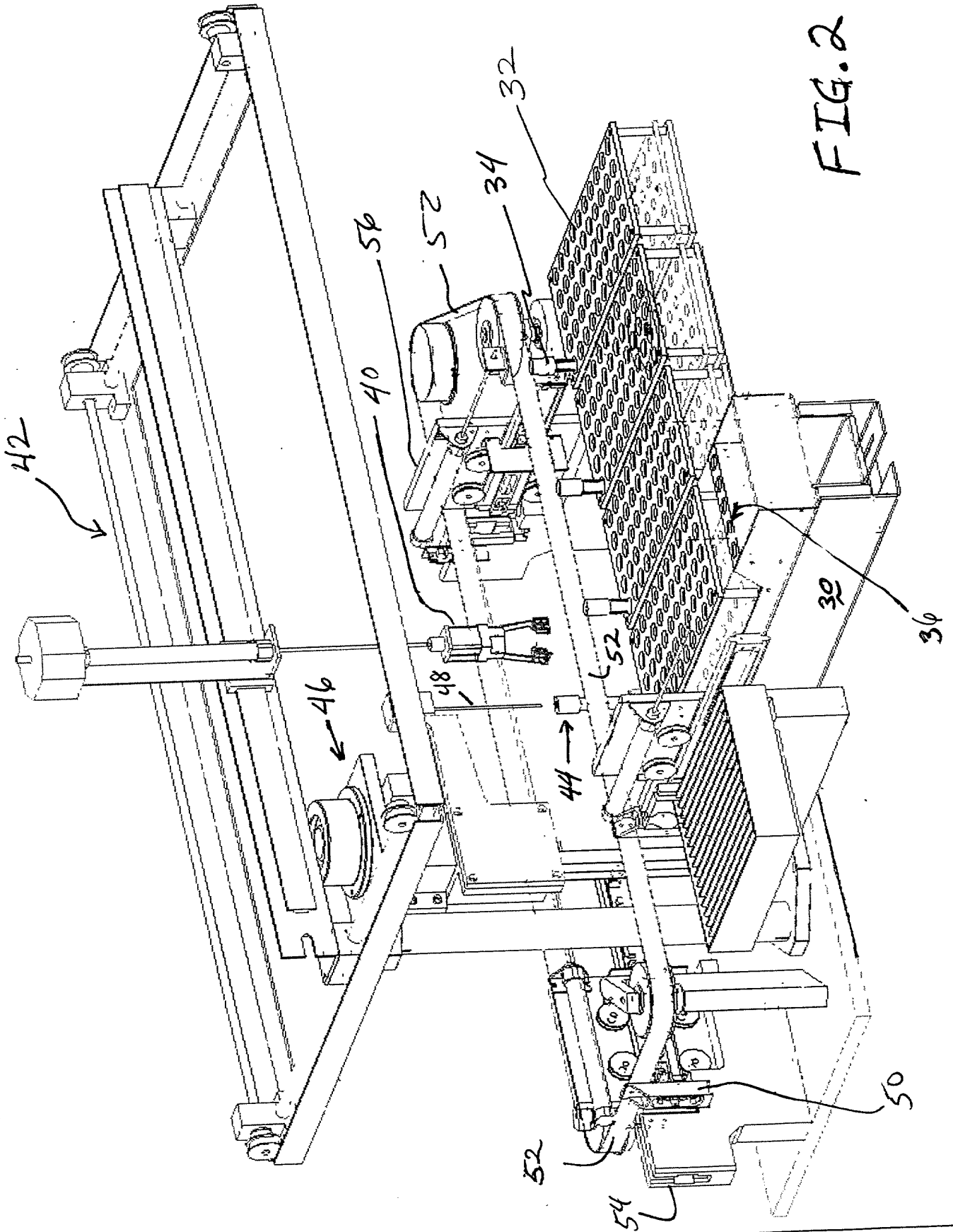


FIG. 2

FIG. 3 is a perspective view of the device in a closed position.

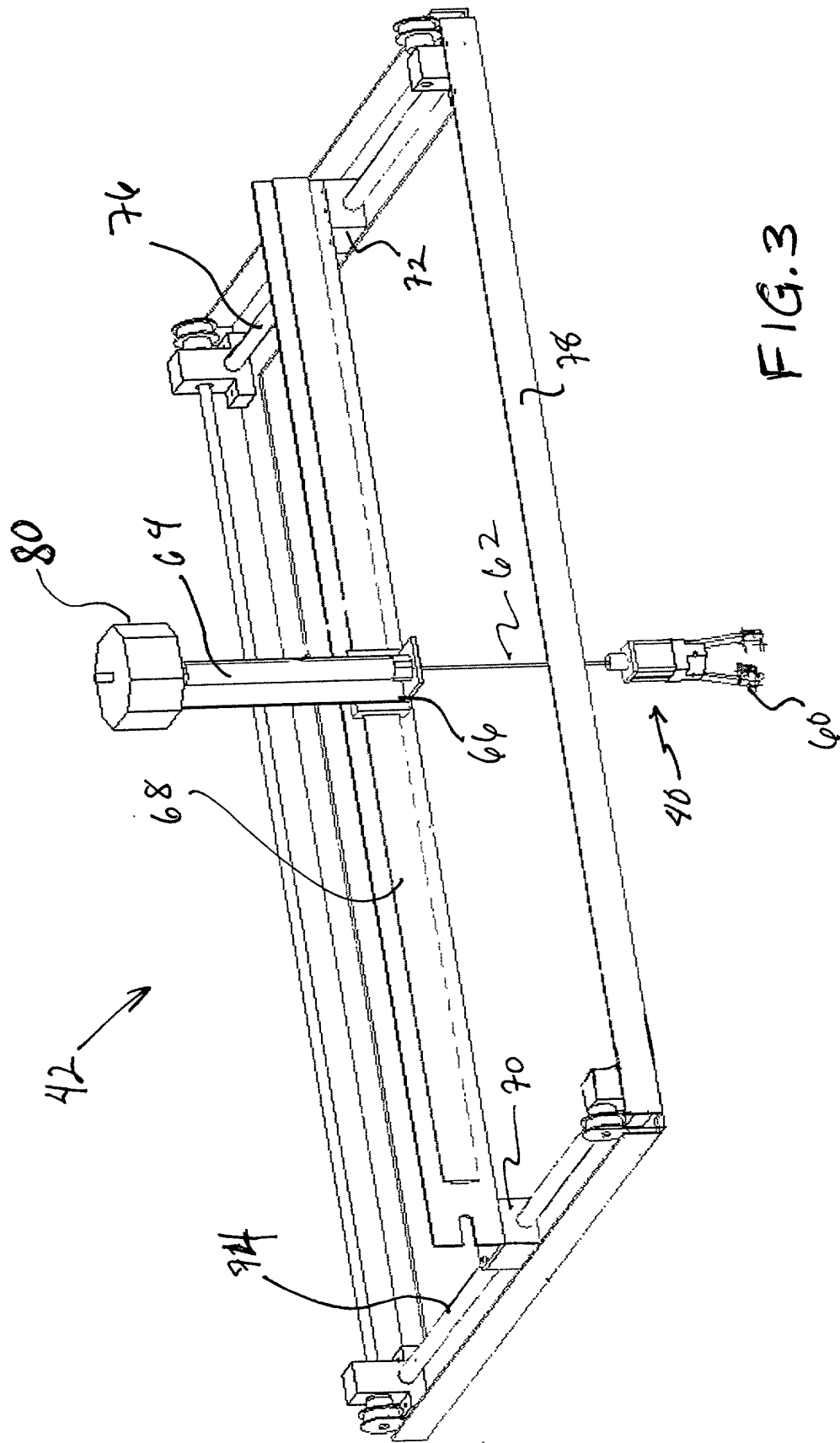


FIG. 3

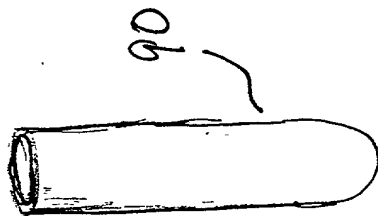


FIG. 4

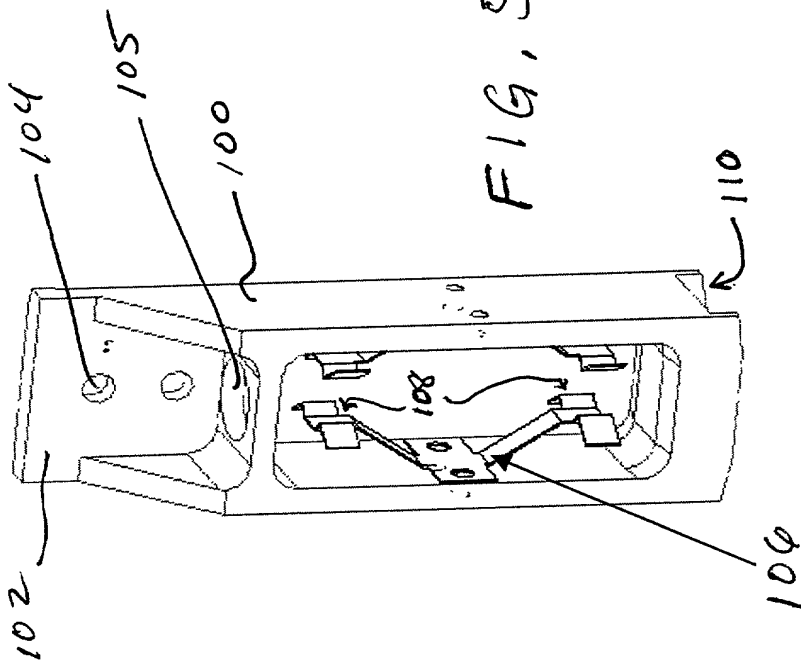
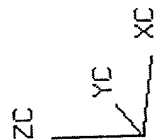
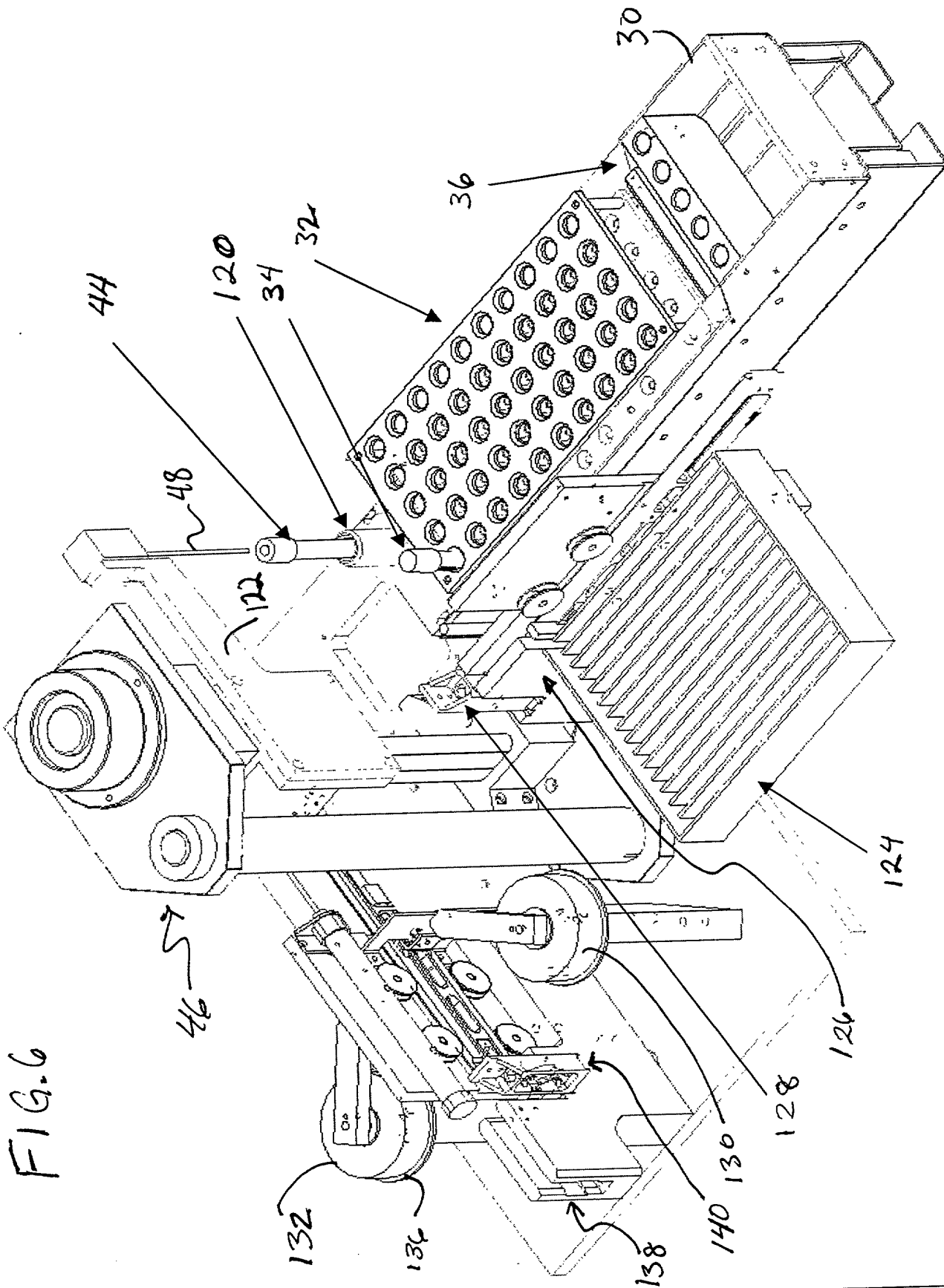


FIG. 5





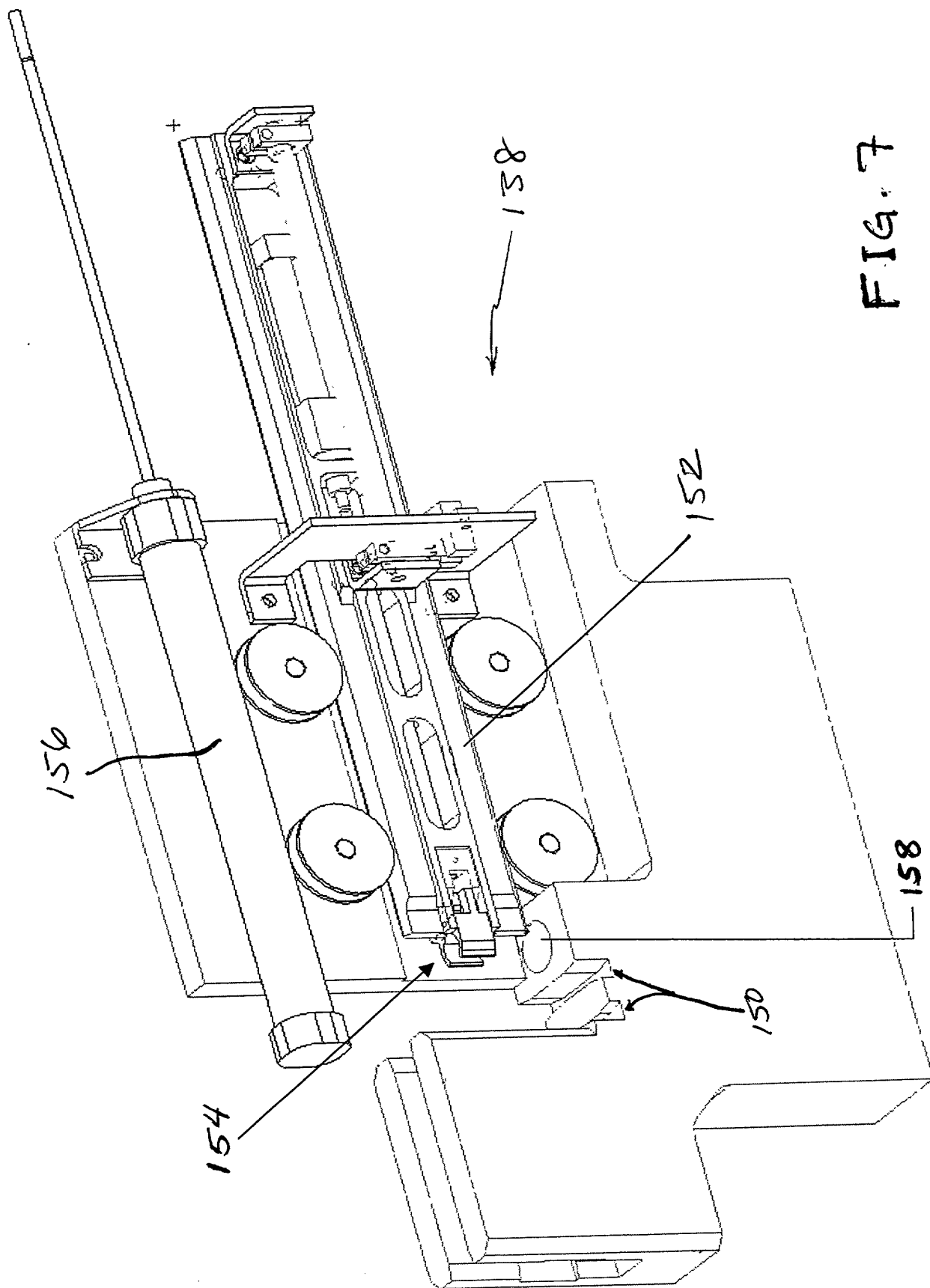


FIG. 7

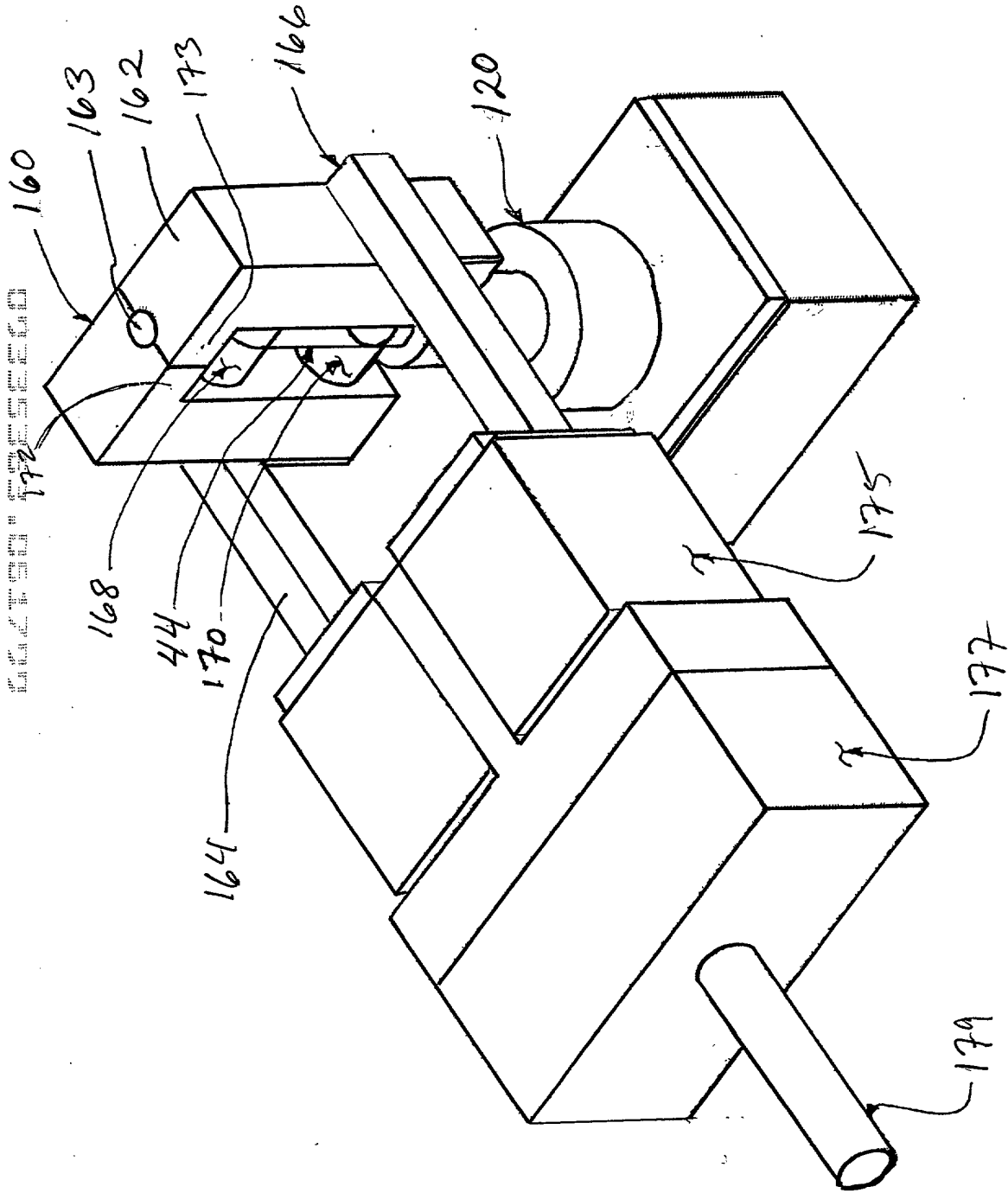
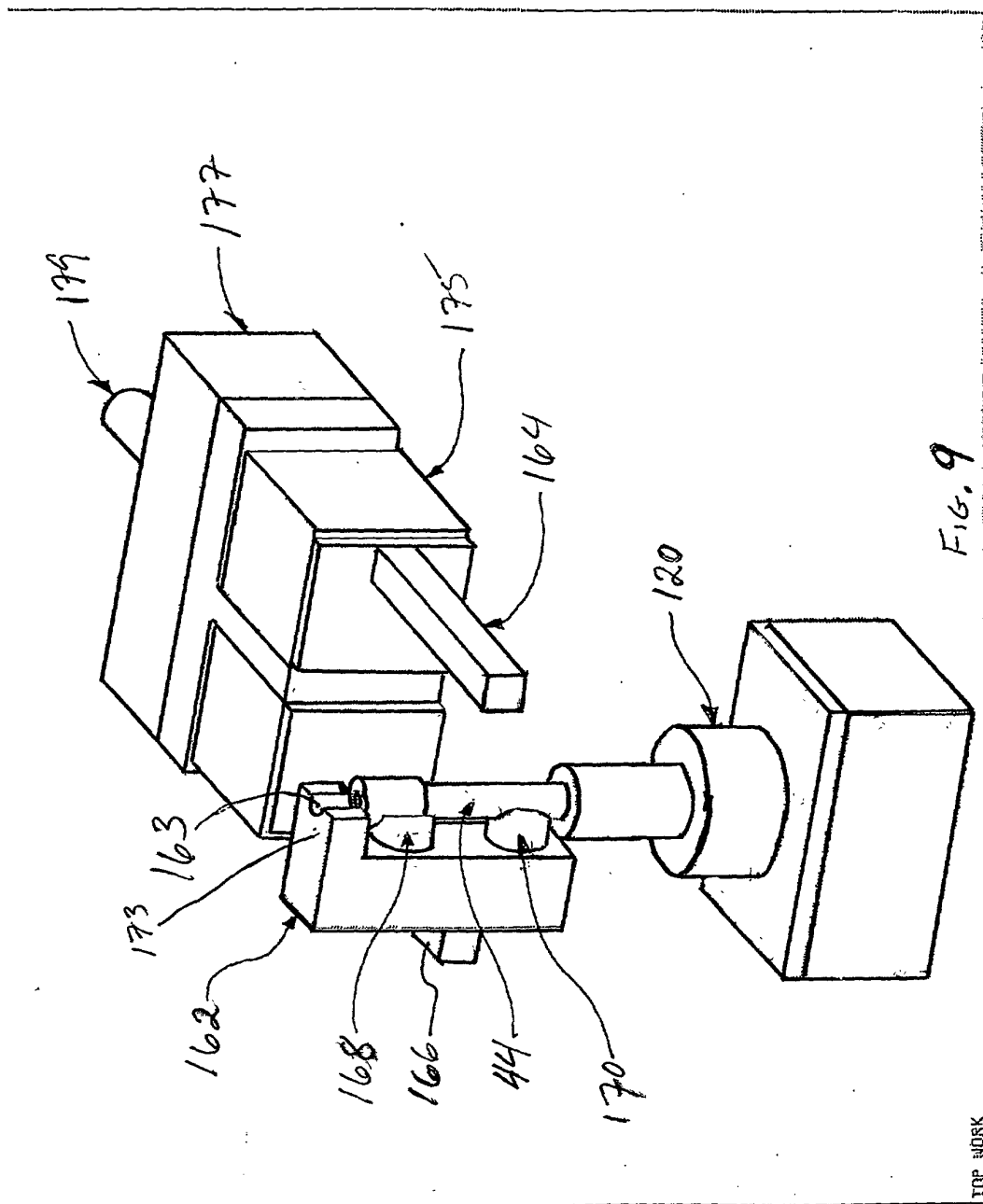


Fig. 8

TOP WORK



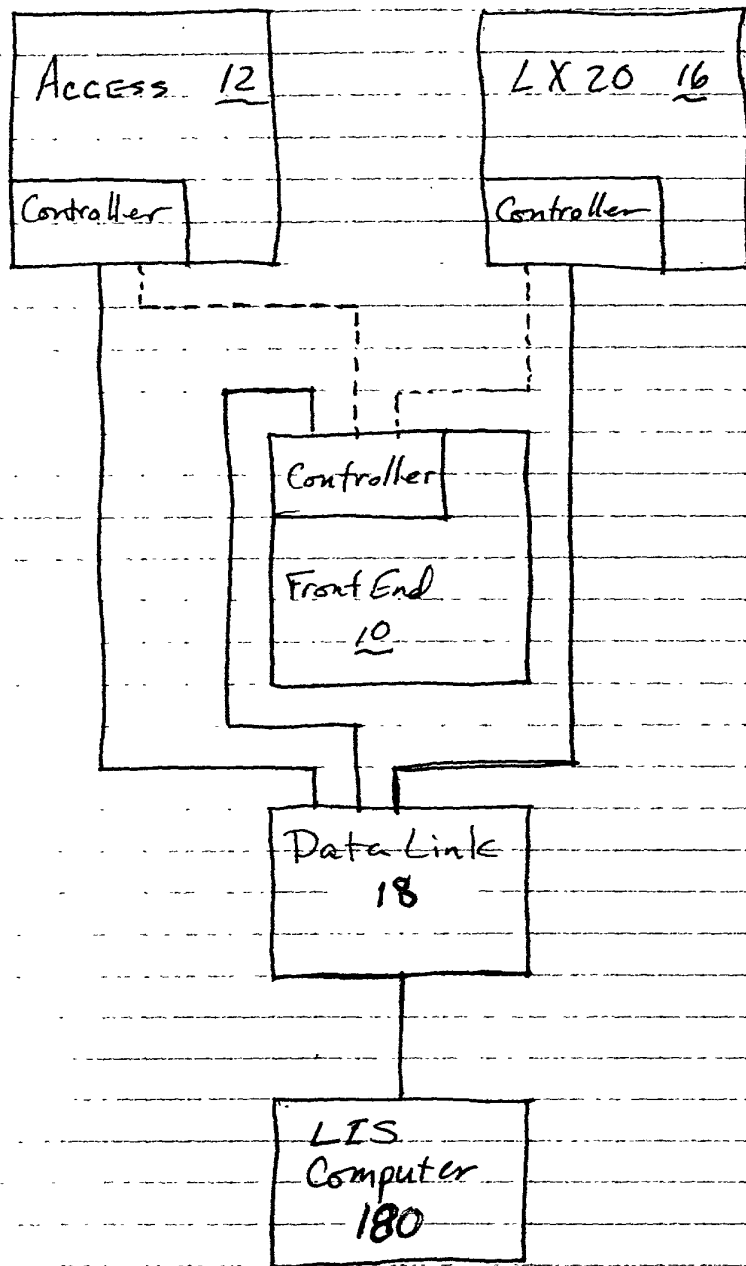


FIG. 10